

# On Higher-Order Cryptography

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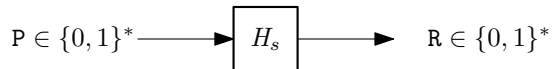
Ugo Dal Lago



*ICALP 2020*, Track B

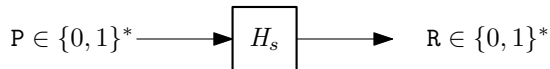
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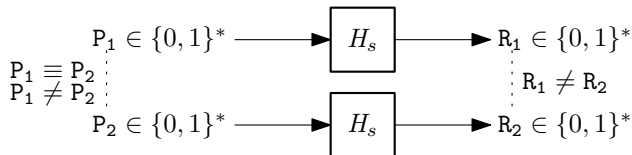


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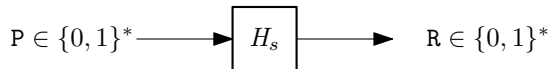


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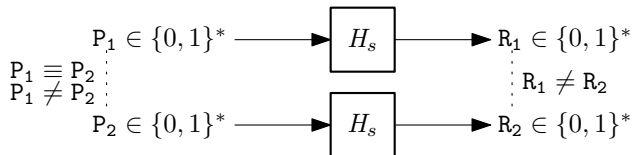


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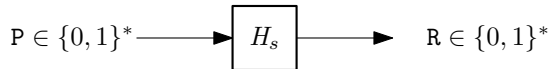
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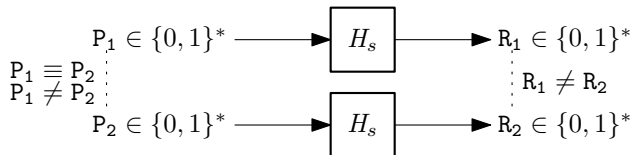
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- The same argument holds when  $H_s$  is replaced by  $Enc_k$  (i.e. encryption) or  $Mac_k$  (i.e. authentication).
- Would it be possible to define any cryptographic primitive in such a way as to make it *equivalence preserving*?
  - That somehow amounts to turning  $H_s$  into a program of type  $(\{0, 1\}^* \rightarrow \{0, 1\}^*) \rightarrow \{0, 1\}^*$  (rather than  $\{0, 1\}^* \rightarrow \{0, 1\}^*$ ).

# Contributions in This Talk

- ① **A New Model** of Complexity-Bounded Higher-Order Computation Based on *Game Semantics*.
  - Second-order adversaries are everywhere in cryptography.
  - Defining the concept of an *efficient adversary* at third-order (or above!) instead requires some care.
  - Game semantics [AJM00,HO00] offers a way to reduce higher-order computation to first-order computation.

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  - Defining the concept of an *efficient adversary* at third-order (or above!) instead requires some care.
  - Game semantics [AJM00,HO00] offers a way to reduce higher-order computation to first-order computation.
- 2 Some *Negative* and *Positive* Results on the Feasibility of **Higher-Order Cryptography**.
  - Results about influential variables in decision trees imply that second-order pseudorandomness and collision-resistance are not attainable.
  - Some positive results can be obtained, but there is an high price to pay.





# Pseudorandomness in Cryptography

$$S = (S_n : \{0, 1\}^n \rightarrow X_n)_{n \in \mathbb{N}}$$

Order 0:  $X_n = \{0, 1\}^{r(n)}$ . Pseudo-Random Number Generator (PRNG)

- take a few random bits and produce a longer string of pseudo-random bits.
- used e.g for key-generation, encryption...

Order 1:  $X_n = \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^{l(n)}$ . Pseudo-Random Function (PRF)

- from a random key  $k$ , build deterministically a function that associates to any message  $m$  a tag  $t$ , indistinguishable from a random mapping from messages to tags.
- used e.g as MAC (message authentication code)

Existence: widely accepted

- PRNG exist iff **one-way functions** exist;
- PRNG exist  $\Rightarrow P \neq NP$ ;
- PRNG exist  $\Rightarrow$  PRF exist.

# Collision Resistance

## Definition

A scheme

$$S = (S_n : \{0, 1\}^n \xrightarrow{\text{key}} (Y_n \xrightarrow{\text{deterministic functions}} Z_n))_{n \in \mathbb{N}} \quad \text{is collision-resistant}$$

when for every **efficient**, randomized adversary  $\mathcal{A} = (\mathcal{A}_n : (Y_n \rightarrow Z_n) \rightarrow Y_n \times Y_n)_{n \in \mathbb{N}}$ ,

$$\text{Prob}_{k \leftarrow \text{Unif}(\{0,1\}^n)}[\mathcal{A}_n(S_n(k)) = (y_1, y_2) \wedge y_1 \neq y_2 \wedge S_n(k)(y_1) = S_n(k)(y_2)] \leq \epsilon(n)$$

negligible function

## Fact

As soon as  $(n \mapsto \frac{\text{card}(Y_n)}{2^{2 \cdot \text{card}(Z_n)}})$  is negligible, a truly random  $S$  is collision resistant  
 e.g.  $Y_n = (\{0, 1\}^{p(n)} \rightarrow \{0, 1\})$ ,  $Z_n = \{0, 1\}^{q(n)}$  is collision-resistant when  $p(n) \leq q(n)$ .

# Higher-Order Pseudorandomness?

Intuitively, it is **impossible** to build deterministic polytime objects of type

$$S : \{0, 1\}^n \rightarrow \overline{\left( \left( \{0, 1\}^n \rightarrow \{0, 1\}^1 \right) \rightarrow \{0, 1\}^n \right)} \quad \text{which "look random".}$$

$\uparrow$  key                       $\uparrow$  input function                       $\uparrow$  tag

Intuition:

- the input function can be accessed only polynomially many times by the efficient algorithm  $S$ ;
- a truly random  $F$  in  $(\{0, 1\}^n \rightarrow \{0, 1\}^1 \rightarrow \{0, 1\}^n)$  would a priori depends on exponentially many answers of the input function.

Question:

How to turn this into a formal argument?

# Higher-Order **Randomized, Efficient** Adversaries ?

## Efficient Distinguishers

- If  $X_n = \{0, 1\}^{r(n)}$ , then the distinguisher is of order 1, i.e. just a polytime randomized algorithm.
- If  $X_n = \{0, 1\}^n \rightarrow \{0, 1\}^n$ , then the distinguisher is of order 2: can be taken as a polytime (in  $n$ ) oracle randomized Turing machine.
- If  $X_n = (\{0, 1\}^n \rightarrow \{0, 1\}^1) \rightarrow \{0, 1\}^n$ , then the distinguisher is of **order 3**.

## Fact

*Third-order adversaries have not been considered, at least so far, by the crypto community.*

## Question:

How should we account for the time it takes to “cook” an argument function?

## Are we Looking at a Form of Higher-Order Complexity?

Yes! . . .

- **No** *modern* cryptographic construction is secure against **unbounded adversaries**, so limiting the computational capabilities of the adversary is necessary.
- Adversaries could be third-order.
- Efficiency should be captured by **polynomial time** computability (in the value of the security parameter).

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- Adversaries could be third-order.
- Efficiency should be captured by **polynomial time** computability (in the value of the security parameter).

... but Not Really!

- There is no aim at **classifying functions** as for their inherent difficulty following, e.g., the work by Cook et al. [CU1988,CK1992].
- The *size* of the input function is not a crucial parameter.

## An Example: the Game Semantics of a Second-Order Function

$$!(\{0,1\}^* \multimap \{0,1\}^*) \multimap \{0,1\}^*$$

## An Example: the Game Semantics of a Second-Order Function

$$0 \quad !(\{0,1\}^* \multimap \{0,1\}^*) \multimap \{0,1\}^* \quad ?$$



## An Example: the Game Semantics of a Second-Order Function

$$\begin{array}{l} \text{O} \quad !(\{0,1\}^* \multimap \{0,1\}^*) \multimap \{0,1\}^* \\ \text{P} \quad \quad \quad (1, ?) \end{array}$$

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	$!({0,1}^* \multimap {0,1}^*) \multimap {0,1}^*$	
O		?
P		(1, ?)
O	(1, ?)	
P	(1, $s_1$ )	

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	$\vdots$
P	$(m, ?)$
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P	$(m, s_m)$
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O		$(m, t_m)$
P		$v$

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	$\vdots$	
P	( $m$ , ?)	
O	( $m$ , ?)	
P	( $m$ , $s_m$ )	
O	( $m$ , $t_m$ )	
P		$v$

## Missing Ingredients to Model Cryptographic Primitives

- The Player determines the next move without any *complexity* constraint.
- The *length* of the interaction is in principle arbitrary (and can even be infinite).
- Strategies are deterministic, and do not have access to any source of *randomness*.

# Cryptographic Game Semantics - I

## Games Parametrized by a Security Parameter

- Games:  $G = (O_G, P_G, (L_G^n)_{n \in \mathbb{N}})$
- Strategies:  $f : \mathbb{N} \times (L_G^n \cap \text{Odd}) \rightarrow P_G$

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Example: Strings of Length  $\leq p(n)$

$\mathbf{S}[p] = (\{?\}, \{0, 1\}^*, (L_n^{\mathbf{S}[p]})_{n \in \mathbb{N}})$  with  
 $L_n^{\mathbf{S}[p]} = \{\epsilon, ?\} \cup \{?s \mid |s| \leq p(n)\}$



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## Restricted Classes of Games and Strategies

### Polynomially Bounded Games:

$G$  such that there exists a polynomial  $P$  with positive coefficients, such that:  
 $\forall n \in \mathbb{N}, \forall s \in L_G^n, |s| \leq P(n).$

### Polytime Computable Strategies:

There exists a polynomial time Turing machine which on input  $(1^n, s)$  returns  $f(n, s).$

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## Constructing Games

From the games  $G, H$ , we can construct more complex games such as:

- $G \multimap H$ , modeling functions from  $G$  to  $H$ ;
- $G \otimes H$ , modeling pairs of elements from  $G$  and  $H$ ;
- $!_q G$  modeling  $q(n)$  copies of  $G$ .

## Cryptographic Game Semantics — II

### Proposition (Composing Strategies)

*If  $f, g$  polytime strategies on  $G \multimap H$  and  $H \multimap K$  (respectively), one can form  $g \circ f$  as a strategy on  $G \multimap K$ . Moreover, strategy composition is associative.*

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YES!

The whole sequence of probabilistic choices is available, and strategies compose.

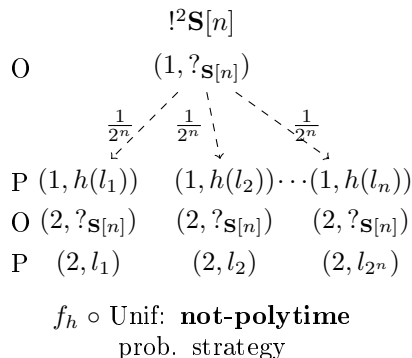
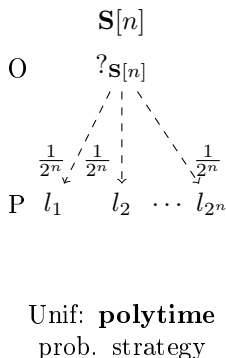
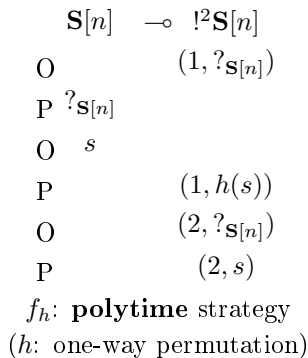


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 $f : \mathbb{N} \times (L_G^n \cap \text{Odd}) \rightarrow \text{DISTR}(P_G)$

Fact:

Polytime randomized strategies **do not** compose.



# The Category of Parametrized games and probabilistic strategies

## Definition

- Objects: polynomially bounded games  $G, H, \dots$
- Morphisms from  $G$  to  $H$ : pairs  $(q, f)$ , where  $f$  is a strategy in  $!_q \mathbf{B} \multimap (G \multimap H)$ .
- Composition: for  $(q_1, f_1) : G \multimap H$ ;  $(q_2, f_2) : H \multimap J$ ,

$$(q_2, f_2) \circ (q_1, f_1) : (q_2 + q_1, !_q \mathbf{B} \multimap !_q \mathbf{B} \otimes !_q \mathbf{B} \xrightarrow{id_{!_q \mathbf{B}} \otimes f_1} !_q \mathbf{B} \otimes G \xrightarrow{f_2} H)$$

## Proposition

*This category is:*

- *symmetric monoidal closed, forms an exponential bounded situation.*
- *polytime computable morphisms are stable by composition.*

## Observing the probabilistic behavior of a strategy

$$\text{Prob}_f^n(b) = \sum_{\substack{(b_1, \dots, b_k) \in \mathbf{B}^k \\ \text{with } (?_{\mathbf{B}} \cdot ?_{!_p \mathbf{B}} \cdot b_1 \dots ?_{!_p \mathbf{B}} \cdot b_k \cdot b) \in \bar{f}_n}} \frac{1}{2^k} \quad \text{for } f : !_p \mathbf{B} \multimap \mathbf{B}, b \in \mathbf{B}.$$

## An Example: a Simple Randomized Strategy

$$!_1\mathbf{B} \quad \dashv \quad !_2(\mathbf{S}[n] \quad \dashv \quad \mathbf{B}) \quad \dashv \quad \mathbf{B}$$

## An Example: a Simple Randomized Strategy

	$\mathbf{!}_1 \mathbf{B}$	$\rightarrow$	$\mathbf{!}_2(\mathbf{S}[n])$	$\rightarrow$	$\mathbf{B}$	$\rightarrow$	$\mathbf{B}$
O							?
P	(1, ?)						
O	(1, $b$ )						

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O	(1, $b$ )						
P					(1, ?)		
O			(1, ?)				
P			(1, $b^n$ )				
O					(1, $c$ )		

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P	(1, ?)						
O	(1, $b$ )						
P					(1, ?)		
O			(1, ?)				
P			(1, $b^n$ )				
O					(1, $c$ )		
P					(2, ?)		
O			(2, ?)				
P			(2, $(-b)^n$ )				
O					(2, $d$ )		

# An Example: a Simple Randomized Strategy

	$!_1 \mathbf{B}$	$\multimap$	$!_2(\mathbf{S}[n])$	$\multimap$	$\mathbf{B}$	$\multimap$	$\mathbf{B}$
O							?
P	(1, ?)						
O	(1, $b$ )						
P					(1, ?)		
O			(1, ?)				
P			(1, $b^n$ )				
O					(1, $c$ )		
P					(2, ?)		
O			(2, ?)				
P			(2, $(\neg b)^n$ )				
O					(2, $d$ )		
P							$\neg c \wedge d$

## Second-Order Pseudorandomness, Formally

- We are now in a position to finally **define** what second-order pseudorandomness could look like.



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- The *type* of a (candidate) **pseudorandom function** could be

$$SOF = \mathbf{S}[n] \multimap_p (\mathbf{S}[q] \multimap \mathbf{B}) \multimap \mathbf{S}[r],$$

while the type of an **adversary** for it, being randomized, should be

$$ADV = !_s \mathbf{B} \multimap_t (!_p (\mathbf{S}[q] \multimap \mathbf{B}) \multimap \mathbf{S}[r]) \multimap \mathbf{B}$$

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We say that a polytime strategy  $f$  for the game  $SOF$  is **pseudorandom** iff for any polytime strategy  $\mathcal{A}$  for the game  $ADV$  it holds that

$$|Pr[\mathcal{A} \circ (f \circ rand_{\mathbf{S}[n]}) \Downarrow 1] - Pr[\mathcal{A} \circ (rand_{!_p(\mathbf{S}[q] \multimap \mathbf{B}) \multimap \mathbf{S}[r]}) \Downarrow 1]| \leq \varepsilon(n)$$

where  $\varepsilon$  is a negligible function and  $rand_G$  is a random strategy for the game  $G$ .

## The Negative Result: Summary

- Consider a strategy  $f$  for  $SOF = \mathbf{S}[n] \dashv\!\!\!\dashv_p(\mathbf{S}[q] \dashv\!\!\!\dashv \mathbf{B}) \dashv\!\!\!\dashv \mathbf{S}[r]$ , where  $q(n) \geq n$ , and  $p$  is a polynomial. The intuition is that  $f$  is far from being pseudorandom, whatever this means.

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  - The value of  $f$  depends on the value of its argument function on *polynomially* many coordinates  $s_1, \dots, s_m$ , where  $m \leq p(n)$
  - Once these are fixed, the value of the argument function on the other (exponentially many!) coordinates is irrelevant.
  - But **beware**: the values of  $s_1, \dots, s_m$  possibly depend on the key, and could be determined adaptively.

## The Negative Result: Summary

- Consider a strategy  $f$  for  $SOF = \mathbf{S}[n] \dashv_{!p}(\mathbf{S}[q] \dashv \mathbf{B}) \dashv \mathbf{S}[r]$ , where  $q(n) \geq n$ , and  $p$  is a polynomial. The intuition is that  $f$  is far from being pseudorandom, whatever this means.
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  - But **beware**: the values of  $s_1, \dots, s_m$  possibly depend on the key, and could be determined adaptively.
- How could an adversary **determine** the coordinates  $s_1, \dots, s_m$ ?
  - Since  $f$  can be seen as a decision tree with a relatively small depth (i.e.,  $p(n)$ ), We know [ODSSS2005] that  $f$  has influential variables.
  - We can thus proceed by querying  $f$  on randomly constructed block functions, evaluating their influences, until we find one with an high-influence.
  - This way, we iteratively fix  $s_1, \dots, s_m$  in such as way that the variance of  $f$  on any function behaving according to them is very low.

## Looking for Collisions with Influential Variables-I

Tool: known result on influential variables

Suppose that  $F : \mathbf{S}[N] \rightarrow \mathbf{B}$  is computable by a decision tree of depth at most  $q$  and  $g : [N] \rightarrow \mathbf{B}$  is a partial function. Then there exists  $j \in [N] \setminus \text{Dom}(g)$  such that

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Next step for first-order functions

Given a function  $F : \mathbf{S}[N] \rightarrow \mathbf{S}[L]$ , we build a polytime algorithm that returns a short set of bits variables  $J = \{j_1, \dots, j_m\}$ , and an associated function  $g : J \rightarrow \mathbf{B}$ , such that as soon as  $x$  is on the bits  $J$  as specified by  $F$ , the variance of  $F$  is negligible.

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Generalizing to second-order functions

for  $F : (\mathbf{S}[n] \rightarrow \mathbf{B}) \rightarrow \mathbf{S}[L]$ ,  
 $N$  polynomial in  $n \leq 2^n$ :

$$\begin{aligned} \tilde{F} : \mathbf{S}[N] &\rightarrow \mathbf{S}[L] \\ x &\mapsto F(\text{block-function}(x)). \end{aligned}$$



## Looking for Collisions with Influential Variables- II

### Theorem

For every  $\delta$  there is a strategy  $coll_\delta$  on the game

$$!_t(!_p(\mathbf{S}[n] \multimap \mathbf{B}) \multimap \mathbf{S}[r]) \multimap (\mathbf{S}[n] \multimap \mathbf{B}) \otimes (\mathbf{S}[n] \multimap \mathbf{B}))$$

such that for every deterministic strategy  $f$ , the composition  $(!_s f) \circ coll_\delta$ , with probability at least  $1 - \delta$ , computes two functions  $g, h$  such that:

- 1  $H(g, h) \geq 0.1$ ;
- 2  $f \circ g$  and  $f \circ h$  behave the same;
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### Corollary

- There are no collision resistant second-order scheme  $(!_p(\mathbf{S}[n] \multimap \mathbf{B}) \multimap \mathbf{S}[r])$ ;
- thus there are no pseudo-random function for  $X_n = (!_p(\mathbf{S}[n] \multimap \mathbf{B}) \multimap \mathbf{S}[r])$ .

## The Positive Result

- Now, consider the type  $SOF = \mathbf{S}[n] \dashv\!\!\!\dashv_p(\mathbf{S}[q] \dashv\!\!\!\dashv \mathbf{B}) \dashv\!\!\!\dashv \mathbf{S}[r]$ , where  $q(n) \leq \log_2(n)$ , and  $p(n) \geq n$ .

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- **Corollary**: “function-authenticating-codes” are indeed possible, but for functions of type  $\mathbf{S}[\log_2(n)] \dashv \mathbf{B}$ .

# Conclusion

## Main Contributions

- A novel game-theoretic framework for higher-order, randomized, complexity bounded computation.
- Impossibility of building second-order functions having the expected type, (i.e. taking in input characteristic functions on  $\{0, 1\}^n$ ) and having good cryptographic properties.
- Existence, under standard cryptographic assumptions, of second-order pseudorandom functions taking in input characteristic functions on  $\{0, 1\}^{\log_2(n)}$ .



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## Future Work

- How about **encryption**?
- Is it that our game-semantic framework can be seen as a methodology for proving higher-order cryptographic **reduction arguments** to be *complexity preserving*, or even *correct*?

Thank you !